

ANNUAL PROGRAMME REPORT

FM14-21

Slovakia

SK-CLIMATE Climate Change Mitigation and Adaptation

2020

A. EXECUTIVE SUMMARY

Climate change is a global issue and it affects us all regardless of national borders. The Programme „Climate Change mitigation and adaptation (SK-Climate)“ financed from the EEA Grants and Norway Grants is operated by the Ministry of Environment of the Slovak Republic as the Programme Operator and aims through its Outcomes and Outputs at achieving the Programme’s objective „Climate change mitigated and vulnerability to climate change reduced“.

The absorption capacity in the 3 Calls launched and closed by the 31st December 2020 significantly exceeded the available allocation: twice in the Call No. 1 (ACC01) and Call No. 2 (ACC02) entitled "Action plans for mitigation and adaptation implemented by local authorities in urban areas (ClimaUrban)" for the municipalities with the number of inhabitants over 15 000 and five times in the SGS 1 Call (ACC03) entitled "Awareness raising on climate change mitigation and adaptation carried out by schools (ClimaEdu)" for the primary and secondary schools. For support of the Programme’s bilateral ambitions it is beneficial, that all the 6 Projects Applications approved under the Call No. 1 and Call No. 2 include cooperation with Donor Project Partners from Norway and one of them from Iceland as well. In case of the SGS 1 Call, 5 from 28 Project Applications will be implemented with a Project Partner from Norway. Positively perceived and successful were the matchmaking events organised by the Programme Operator in cooperation with the Donor Programme Partners in October 2019 for municipalities eligible under the Call No. 1 and Call No. 2 in Oslo and in November 2019 for primary and secondary schools eligible under the SGS 1 Call in the Slovak municipality of Banská Štiavnica.

The importance of tackling climate change was also acknowledged by the fact that the resources from the reserve in line with the Art. 1.11 of the Regulation on the implementation of the Norwegian Financial Mechanism 2014-2021 were allocated for the Call No. 2 under the SK-Climate Programme. After amending the Programme Agreement, 3 more cities will be supported.

Although the initial start-up of the Programme was very favourable, the global spread of the COVID-19 pandemic shifted the planned time schedule in particular milestone. Nevertheless, 2 more Calls were launched by the Programme Operator on 15th December 2020, mainly the Call No. 3 (ACC05) entitled „Awareness raising on climate change adaptation and mitigation“ (ClimaInfo) and Call No. 4 (ACC04) entitled "Restoration of degraded wetland ecosystems (ClimaLocal)". However, the Open Call for Smaller Scale Activities – Travel Grants No. ACC_BF 1 from the Bilateral Fund had to be temporarily suspended. The Programme Operator proceeds as flexibly as possible (by using the on-line tools) and the implementation of so far approved Projects and the Predefined Project will start in 2021.

B. PERFORMANCE

1. Results

Bilateral Outcome: Enhanced collaboration between beneficiary and donor state entities involved in the programme

Analysis of bilateral relations and achievements

In order to contribute to the overall objective of strengthening the relations between the Donor States and the Beneficiary State, the SK-Climate Programme covers both Programme and Project dimension of bilateral relations.

On the Programme level, the core of the bilateral cooperation is the involvement of Donor Programme Partners in the preparation and implementation of the SK-Climate Programme. In line with the Memoranda of Understanding, the following institutions are represented in the SK-Climate Programme: the Norwegian Water Resources and Energy Directorate, the Directorate for Civil Protection and Emergency Planning and the Norwegian Environment Agency. This cooperation was formalised by establishing the SK-Climate Cooperation Committee to fulfil the tasks listed in the Art. 4.4.3 of the Regulations on implementation of the Financial Mechanisms. In addition, the Royal Norwegian Embassy in Bratislava is represented in the Cooperation Committee as the observer.

On the project level, the Project cooperation with Donor Partners is enabled and encouraged within each open Call launched under the SK-Climate Programme.

To support potential Project partnerships under the Call No. 1 (ACC01) financed from EEA Grants and Call No. 2 (ACC02) financed from Norway Grants entitled "Action plans for mitigation and adaptation implemented by local authorities on urban areas (ClimaUrban)" and SGS 1 Call financed from Norway Grants entitled "Awareness raising on climate change mitigation and adaptation carried out by schools (ClimaEdu)" the SK-Climate Programme Operator organised in close cooperation with the Donor Programme Partners the following matchmaking events:

- Matchmaking event in connection with the Call No. 1 (ACC01) and Call No. 2 (ACC02) for eligible Applicants (municipalities with more than 15 000 inhabitants) a potential Donor Project Partners took place on 29th October 2019 in Oslo/Norway (16 Slovak municipalities and 10 Norwegian municipalities attended);
- Matchmaking event in connection with the SGS 1 Call (ACC03) for eligible Applicants (primary and secondary schools) took place on 20th November 2019 in the municipality of Štiavnické Bane in Slovakia (more than 150 Slovak schools and 4 schools from Norway attended).

Both events were positively perceived by the Participants and can be evaluated as successful.

Thanks to the above-mentioned events, as well as thanks to the Applicants' own initiative, the cooperation between Donor States and Slovakia on the Project level will be supported in all the 3 Call launched and closed under the SK-Climate Programme by 31st December 2020.

All the 3 Project Applications approved under the Call No. 1 (ACC01) financed under the EEA Grants include partnerships with Norwegian Project Partners and 1 of them with Islandic Project Partner as well. Under the Call No. 2 (ACC02) financed under the Norway Grants, all the 3 approved Project Applications include partnerships with Norwegian Project Partners. The 3 Project Applications listed on the Reserve List (to be approved after amending the Allocation for this Call in the Programme Agreement) contain Project Partnerships with Norwegian Partners as well. Under the SGS 1 Call (ACC03) financed under the Norway Grants, 5 Project Application approved include Partnerships with Norwegian Project Partners (17% from the total number of approved Project Applications in the SGS Call).

A significant contribution to the bilateral ambitions of the SK-Climate Programme is envisaged in the Predefined Project entitled "Climate change and environment education centre (LivingLab) in Dropie" implement by the Slovak Environment Agency in cooperation with 2 Donor Project Partners – the Norwegian Bjerknes Centre for Climate Research and the Municipality of Trondheim.

The contribution of particular Projects to the Bilateral Output indicators will be assessed after concluding the Project Contracts (2021).

Based on the Memoranda of Understanding, the Bilateral Fund resources shall be used to strengthen the bilateral cooperation under the SK-Climate Programme. In order to contribute to enhancing the cooperation and improving the mutual knowledge and understanding between the Donor States and Slovakia, the Open Call for Smaller Scale Activities – Travel Grants No. ACC_BF 1 was launched by the SK-Climate Programme Operator on 10th February 2020 with the total allocation of 50 000 EUR. Considering the situation with the spread of the COVID-19 pandemic, this Call has been temporarily suspended with the effect from 16th March 2020.

2. Implementation

Conformity with specific requirements

The Annex II to the Programme Agreement for the financing of the “Climate Change Mitigation and Adaptation (SK-Climate)” sets the general and pre-eligibility conditions. The fulfilment status of the general conditions is specified in the Annex 7 to this Annual Programme Report.

The condition regarding the pre-eligibility is set as follows: to provide to the FMC a detailed description, budget and the target for energy savings under the pre-defined project well as a detailed overview of the financing from all funding sources and the timeline for the realisation of all the activities related to the centre. Documents related to the above-mentioned pre-eligibility condition were submitted by the PO to the FMO on 20th February 2020. As announced on 20th May 2020, the FMO considers the pre-eligibility conditions as fulfilled.

With regard to the Memoranda of Understanding, no special concerns are mentioned for the SK-Climate Programme.

Cooperation with International Partner Organisations (IPOs)

Cooperation with international organisations is not envisaged under the SK-Climate Programme.

C. LEARNING

1. Monitoring

The requirements of the Programme Operator regarding the monitoring are set in the Programme Agreement for the financing of the “Climate Change Mitigation and Adaptation (SK-Climate)” and the Programme Implementation Agreement. Specific procedures of the SK-Climate Programme Operator are described in the internal management documents of the SK-Climate Programme Operator.

The year 2021 will be the starting point for the monitoring activities of the SK-Climate Programme Operator. The Monitoring Plan of the SK-Climate Programme for the year 2021 is in the Annex 5 to the Annual Programme Report. As no Project Contracts were concluded by the end of the year 2020, the Monitoring Plan contains only the minimal scope of the compliance-based monitoring. The Monitoring Plan describes basic rules for selecting the sample for each type of monitoring. After concluding the individual Project Contracts, the Monitoring Plan shall be updated and amended.

2. Evaluation

As of 31st December 2020, no evaluation of the SK-Climate Programme according to the Article 10.1 of the Regulations has been carried out.

3. Lessons learned

The results of the three Calls launched by the SK-Climate Programme Operator in the year 2019 (Calls No. 1 (ACC01) and No. 2 (ACC02) ClimaUrban and the SGS 1 Call (ACC03) ClimaEdu) proved the assumed absorption capacity and the interest of Applicants to implement a Project under the conditions of the SK-Climate Programme. The total amount of Project Grant applied for under the ClimaUrban Calls doubled the available allocation and in the case of the ClimaEdu SGS Call, it was five times higher than the available allocation.

On a global scale, the year 2020 was affected by the worldwide spread of the Covid-19 pandemic. This fact caused in the SK-Climate Programme significant delays compared to the planned milestones (mainly shift in the planned timing for launch the Call No. 4 "Restoration of degraded wetland ecosystems, extended deadlines for particular assessment and selection processes in Calls No. 1 and No. 2 and SGS 1 closed on 28th February 2020, temporarily suspension of the Call for smaller scale activities – travel grants (ACC_BF 01) under the Bilateral Fund with effect from 16th March 2020).

In order to maintain the continuity and flexibility of particular processes and to comply with health protection rules, the SK-Climate Programme Operator uses the means of on-line communication as much as possible (e. g. e-mail communication with the Applicants/Promoters, online sessions of Working Groups/Cooperation Committee; publishing of information on the webpage/social media of the SK-Climate Programme Operator). Further measures will depend on the development of the situation with the Covid-19 pandemic.

D. ANNEXES

1. Updated results (indicator achievements)
2. Communication
3. Overview of contracted projects
4. Risk management
5. Monitoring plan
6. Evaluation report
7. List of individual mobilities

Annex 1: Updated results (indicator achievements)

Objective: Climate change mitigated and vulnerability to climate change reduced								
Outcome 1: Increased climate change resilience and responsiveness within targeted areas								
Indicator	Unit of measurement	Baseline	Achievements until end of previous reporting period	Achievements until end of December 2020			Target	Comment
				Numerator	Denominator	Value		
Share of Slovak population benefiting from living in more climate change resilient and responsive urban areas	Percentage	0.00 %	-	Reported 2021, 2025			13.50 %	-
Estimated annual CO2 emissions reductions of supported entities (in tonnes)	Annual number	0	-	-	-	0	28,570	No Project Contracts were concluded until end of December 2020.
<i>Financial Mechanism</i>								
<i>EEA Grants</i>	-	-	-	-	-	0	-	-
<i>Norway Grants</i>	-	-	-	-	-	0	-	-
Number of people self-reporting having more climate friendly behaviour	Number	0	-	Reported 2021, 2025			15,000	-
Output 1.1: Action plans for mitigation and adaptation implemented by local authorities in urban areas								
Indicator	Unit of measurement	Baseline	Achievements until end of previous reporting period	Achievements until end of December 2020			Target	Comment
				Numerator	Denominator	Value		
Number of action plans completed	Number	0	0 (IFR 2020)	-	-	0	9	No Project Contracts were concluded until 31 December 2020.
Number of climate change mitigation and adaptation measures implemented	Number	0	0 (IFR 2020)	-	-	0	142	No Project Contracts were concluded until 31 December 2020.
Output 1.2: Awareness raising activities on climate change mitigation and adaptation carried out by schools								

Indicator	Unit of measurement	Baseline	Achievements until end of previous reporting period	Achievements until end of December 2020			Target	Comment
				Numerator	Denominator	Value		
Number of schools carrying out awareness raising campaigns	Number	0	0 (IFR 2020)	-	-	0	29	No Project Contracts were concluded until 31 December 2020.
<i>Financial Mechanism</i>								
<i>EEA Grants</i>	-	-	-	-	-	0	-	-
<i>Norway Grants</i>	-	-	-	-	-	0	-	-
Number of students participating in awareness raising campaigns	Number	0	0 (IFR 2020)	-	-	0	7,300	No Project Contracts were concluded until 31 December 2020.
Number of physical climate change responsive measures carried out in schools	Number	0	0 (IFR 2020)	-	-	0	80	No Project Contracts were concluded until 31 December 2020.
Output 1.3: Awareness raising activities on climate change mitigation and adaptation carried out								
Indicator	Unit of measurement	Baseline	Achievements until end of previous reporting period	Achievements until end of December 2020			Target	Comment
				Numerator	Denominator	Value		
Number of awareness raising campaigns carried out	Number	0	0 (IFR 2020)	-	-	0	18	No Project Contracts were concluded until 31 December 2020.
<i>Financial Mechanism</i>								
<i>EEA Grants</i>	-	-	-	-	-	0	-	-
<i>Norway Grants</i>	-	-	-	-	-	0	-	-
Number of attendees reached by the campaigns	Number	0	0 (IFR 2020)	-	-	0	4,500	No Project Contracts were concluded until 31 December 2020.
Output 1.4: Climate change and environment education centre in Dropie supported for additional demonstration measures and educational programmes								

Indicator	Unit of measurement	Baseline	Achievements until end of previous reporting period	Achievements until end of December 2020			Target	Comment
				Numerator	Denominator	Value		
Number of new outdoor climate change mitigation and adaptation measures completed	Number	0	0 (IFR 2020)	-	-	0	7	The Project Contract was not signed until 31 December 2020.
Estimated annual reduced energy/electricity consumption (in kWh)	Annual number	0	0 (IFR 2020)	-	-	0	TBD, Target to be submitted by the PO by the end of Q2, 2021	The Project Contract was not signed until 31 December 2020.
Number of students completing educational activities/courses	Number	0	0 (IFR 2020)	-	-	0	1,200	The Project Contract was not signed until 31 December 2020.
Number of local decision-makers and administrators completing workshops/courses	Number	0	-	-	-	0	360	The Project Contract was not signed until 31 December 2020.
<i>Financial Mechanism</i>								
<i>EEA Grants</i>	-	-	-	-	-	0	-	-
<i>Norway Grants</i>	-	-	-	-	-	0	-	-
<i>Gender</i>								
<i>Female</i>	-	-	-	-	-	0	-	-
<i>Male</i>	-	-	-	-	-	0	-	-
<i>Not specified</i>	-	-	-	-	-	0	-	-
Outcome 2: Enhanced ability of targeted ecosystems to adapt to climate change								
Indicator	Unit of measurement	Baseline	Achievements until end of previous	Achievements until end of December 2020			Target	Comment
				Numerator	Denominator	Value		

			reporting period					
Improved environmental status of supported ecosystems	Binary	No	-	Reported 2022, 2025			Yes	-
Estimated number of people benefiting from ecosystems improvement measures	Number	0	-	Reported 2022, 2025			3,000	-
Output 2.1: Restoration of degraded wetland ecosystems								
Indicator	Unit of measurement	Baseline	Achievements until end of previous reporting period	Achievements until end of December 2020			Target	Comment
				Numerator	Denominator	Value		
Number of wetland ecosystems supported	Number	0	0 (IFR 2020)	-	-	0	6	No Project Contracts were concluded until 31 December 2020.
Estimated area of wetland ecosystems restored (in m2)	Number	0	0 (IFR 2020)	-	-	0	600,000	No Project Contracts were concluded until 31 December 2020.
Number of awareness campaigns carried out	Number	0	0 (IFR 2020)	-	-	0	6	No Project Contracts were concluded until 31 December 2020.
Bilateral Outcome: Enhanced collaboration between beneficiary and donor state entities involved in the programme								
Indicator	Unit of measurement	Baseline	Achievements until end of previous reporting period	Achievements until end of December 2020			Target	Comment
				Numerator	Denominator	Value		
Level of trust between cooperating entities in Beneficiary States and Donor States	Scale 1-7	TBD	-	-	-	-	4.50, Target is ≥4.5, and an increase on the	Data to be collected by the FMO.

							baseline value	
<i>Financial Mechanism</i>								
<i>EEA Grants</i>	-	-	-	-	-	-	-	-
<i>Norway Grants</i>	-	-	-	-	-	-	-	-
<i>State type</i>								
<i>Beneficiary State</i>	-	-	-	-	-	-	-	-
<i>Donor State</i>	-	-	-	-	-	-	-	-
<i>Not specified</i>	-	-	-	-	-	-	-	-
Level of satisfaction with the partnership	Scale 1-7	TBD	-	-	-	-	4.50, Target is ≥ 4.5 , and an increase on the baseline value	Data to be collected by the FMO.
<i>Financial Mechanism</i>								
<i>EEA Grants</i>	-	-	-	-	-	-	-	-
<i>Norway Grants</i>	-	-	-	-	-	-	-	-
<i>State type</i>								
<i>Beneficiary State</i>	-	-	-	-	-	-	-	-
<i>Donor State</i>	-	-	-	-	-	-	-	-
<i>Not specified</i>	-	-	-	-	-	-	-	-
Share of cooperating organisations that apply the knowledge acquired from bilateral partnership	Percentage	N/A	-	-	-	-	60.00 %	Data to be collected by the FMO.
<i>Financial Mechanism</i>								
<i>EEA Grants</i>	-	-	-	-	-	-	-	-
<i>Norway Grants</i>	-	-	-	-	-	-	-	-
<i>State type</i>								
<i>Beneficiary State</i>	-	-	-	-	-	-	-	-
<i>Donor State</i>	-	-	-	-	-	-	-	-

<i>Not specified</i>	-	-	-	-	-	-	-	-
Bilateral Output 1: Cooperation between Donor and Beneficiary State entities supported								
Indicator	Unit of measurement	Baseline	Achievements until end of previous reporting period	Achievements until end of December 2020			Target	Comment
				Numerator	Denominator	Value		
Number of projects involving cooperation with a Donor project partner	Number	0	0 (IFR 2020)	-	-	0	36	No Project Contracts were concluded until 31 December 2020.
<i>Financial Mechanism</i>								
<i>EEA Grants</i>	-	-	-	-	-	0	-	-
<i>Norway Grants</i>	-	-	-	-	-	0	-	-
<i>Donor State</i>								
<i>Norway</i>	-	-	-	-	-	0	-	-
<i>Iceland</i>	-	-	-	-	-	0	-	-
<i>Liechtenstein</i>	-	-	-	-	-	0	-	-
<i>Not specified</i>	-	-	-	-	-	0	-	-
Number of training courses co-organised by donor state and beneficiary state entities	Number	0	0 (IFR 2020)	-	-	0	10	No Project Contracts were concluded until 31 December 2020.
<i>Financial Mechanism</i>								
<i>EEA Grants</i>	-	-	-	-	-	0	-	-
<i>Norway Grants</i>	-	-	-	-	-	0	-	-

Annex 2: Communication summary

a. Visibility of the Grants and the donors

The visibility of the Programme and the Grants, its objectives according to the key communication activities were intended to support a successful implementation of the Programme activities according to the set outputs and outcomes stated in the approved Communication plan of the Programme.

The essential tool for providing the visibility of Grants and the Donor States is using the corresponding logo in line with the Communication and Design Manual for EEA and Norway Grants 2014-2021 on every document issued and information published on the SK-Climate Programme by the Programme Operator.

Furthermore, the main activities including information seminars, matchmaking activities and others were realized to promote the assistance of Iceland, Liechtenstein and Norway through the SK-Climate Programme from the EEA and Norwegian grants including possibilities offered by the bilateral cooperation and to provide relevant, up-to-date and complex information about the planned or launched Calls to the applicants which were presented on the following events:

- **Information seminar for municipalities**

On 5th September 2019, an information seminar for municipalities was held in the city of **Banská Bystrica** to present the possibilities of financial support from the EEA and Norwegian Grants related to the activities and measures in the field of climate change mitigation and adaptation. The aim of the event was to introduce the Programme objectives, to present the focus of the upcoming Calls for project proposals No. 1 (ACC01) and No. 2 (ACC02), which were launched on 29th November 2019 for the municipalities with a population of more than 15,000 inhabitants. Furthermore, information on supporting the bilateral cooperation between municipalities with actors from the Donor States (Norway, Iceland and Liechtenstein), as an integral part of the SK-Climate Programme, was presented.

- **Matchmaking event for municipalities**

The event was organized on 29th October 2019 in the premises of the Donor Programme Partner, the Norwegian Water Resources and Energy Directorate in **Oslo, Norway**. The target groups of the event were representatives of the Slovak municipalities with population over 15,000 inhabitants and potential Partners from the Donor States. The aim of the activity was fulfilled by supporting the first interaction between the relevant stakeholders from Norway and Slovakia. The act of new partner-searching, including partnership projects prior to or during the preparation of a project proposal was clearly supported.

- **Matchmaking event for primary and secondary schools**

The event was held on 20th November 2019 in the municipality of **Štiavnické Bane**, Slovakia. The target groups of the event were the primary and secondary schools from Slovakia and potential Partners from Norway as the Donor States. At the event, schools had an opportunity to present awareness raising activities on mitigation and adaptation to climate change, planned to be implemented within the Call SGS 1 (ACC03 3) launched on 31st December 2019 under the small grant scheme call for project proposals.

- **Information seminar to the Calls for project proposals**

The information seminar was held on 15th January 2020 in the city of Banská Bystrica, where the potential Applicants were informed about the first published Calls within the Programme No. 1 (ACC01) and No. 2 (ACC02) "Action plans for mitigation and adaptation implemented by local authorities in urban areas" (ClimaUrban) aimed for the Slovak municipalities with population over 15,000 inhabitants.

- **Information seminar to the small grant scheme Call**

This event for the published small grant scheme Call ACC03 entitled "Awareness raising on climate change mitigation and adaptation at schools (ClimaEdu)" aimed at the primary and secondary schools was held on 04th February 2020 in the city of Banská Bystrica.

- b. Communication with the National Focal Point**

The Communication activities of the Programme were coordinated with the National Focal Point and in close cooperation with the Royal Norwegian Embassy in Bratislava and the Donor Programme Partners where applicable. According to the Communication plan each activity, including seminars and events mentioned above, were organized with an active and beneficial participation of the NFP.

- c. Website and social media**

As one of the most useful tool of the Programme implementation for providing relevant, up-to-date and complex information on the Programme and its Calls to the applicants and Partners, was establishing the web site of the Programme as a sub web-page of the Programme Operator's web page. The web page meets the main requirements including the main recommendations of the Communication and Design Manual – EEA and Norway Grants, for example by setting up Google Analytics, which gives valuable information about Programme's users. This tool helps the PO to have a feedback about target groups and activities etc.

See the following link: <https://www.minzp.sk/en/eeagrants/eea-norway-grants/>.

The web page is in service both in Slovak and in English language, not just for promoting the assistance of Programme Donor Partners through the SK-Climate Programme from the EEA and Norwegian Grants, but to precisely afford the publicity and inform about the possibilities offered by the Programme including for example bilateral cooperation for the targeted groups or relevant stakeholders.

The social media activities hand in hand with the web page of the Programme have encouraged the publicity and communication activity of the Programme. The language of the social media platform helps to find the target groups directly and less officially, although using updating information and direct links to the official web page of the Programme and related pages of Programme's stakeholders or partners.

For more information click here: <https://www.facebook.com/eeagrants.SKCLIMATE/>.

- d. Best practice examples**

As of the 31st December 2020, none of the approved projects, within the launched Calls for project proposals related to this period has started its implementation phase. Therefore the Programme Operator of the Programme is not able to provide good examples of interesting projects relevant for communication purposes.

- e. Multimedia**

As of the 31st December 2020, there were no multimedia products produced under the Programme which could be relevant for communication purposes.

Annex 3: Overview of contracted projects

Outcome	Projects	#	# of donor project partners	Amount contracted	% of outcome budget contracted
Outcome 1: Increased climate change resilience and responsiveness within targeted areas	Pre-defined	0	0	€ 0	0.00 %
	Contracted through open calls	0	0	€ 0	0.00 %
	Contracted through small grants scheme	0	0	€ 0	0.00 %
	Total Outcome 1	0	0	€ 0	0.00 %
Outcome 2: Enhanced ability of targeted ecosystems to adapt to climate change	Pre-defined	0	0	€ 0	0.00 %
	Contracted through open calls	0	0	€ 0	0.00 %
	Contracted through small grants scheme	0	0	€ 0	0.00 %
	Total Outcome 2	0	0	€ 0	0.00 %
Programme	Total	0	0	€ 0	0.00 %

Annex 4: Risk management

Programmatic risks						
Risk description	Risk related to	Likelihood	Consequence	Risk score	Response type	Risk N/A
Lack of interest of applicants in the Programme's calls for proposals (low number of applications received)	Both objectives	1	2	1.41	Mitigate	No
	Description of planned response	Intensive, targeted information campaigns after launching every Call by using different communication channels and tools; user-friendly documentation for Applicants.				
	Description of actual response	Regarding the Calls to be closed in the year 2021 and the Call under the Bilateral Fund, intensive, targeted information campaigns after launching every Call by using different communication channels and tools; user-friendly documentation for Applicants.				
	Planned future response	Analysing the progress achieved in the SK-Climate Programme, setting up the priorities for the remaining allocation (incl. Bilateral Fund); targeted campaigns on promoting the possibilities under the SK-Climate Programme.				
Low interest of partners to participate in projects	Reducing social and economic disparities	2	2	2.00	Accept	No
	Description of planned response	In close co-operation with DPPs, intensive, targeted campaigns on the Programme; matchmaking events, support for Applicants in searching Partners.				
	Description of actual response	Regarding the Calls to be closed in 2021, in close co-operation with DPPs, intensive, targeted campaigns on the Programme; sharing of relevant information on the SK-Climate Programme on the DPPs' websites, support for Applicants in searching partners.				
	Planned future response	Analysing the progress achieved in the SK-Climate Programme, setting up the priorities for the remaining allocation from the Bilateral Fund; targeted campaigns on promoting the possibilities under the SK-Climate Programme.				

Low quality of projects submitted	Reducing social and economic disparities	1	3	1.73	Mitigate	No
	Description of planned response	Precise, explicit, clear, user-friendly documentation for Applicants and use of other information channels (e.g. F.A.Q., information meetings for Applicants etc.).				
	Description of actual response	Regarding the Calls to be closed in 2021, precise, explicit, clear, user-friendly documentation for Applicants and use of other information channels (e.g. F.A.Q., online seminars for Applicants etc.).				
	Planned future response	the relevance of this risk to be re-assessed (no further Call planned under the SK-Climate Programme)				
Changed legislative environment (e.g. national Public Procurement Act)	Reducing social and economic disparities	3	2	2.45	Accept	No
	Description of planned response	Promptly up-date of documents intended for Applicants/ Project Promoters (e.g. Calls, guidelines for Project Promoters etc.), publication of up-to-date information on webpage, up-date of internal documents.				
	Description of actual response	Regular following of changes in relevant legislation (mainly the Act on Public Procurement and the Act on Financial Control and Audit). Updating of guidelines for Beneficiaries and/or seminars for Beneficiaries (if needed).				
	Planned future response	Regular following of the changes in relevant legislation (mainly the Act on Public Procurement and the Act on Financial Control and Audit). Updating of guidelines for Beneficiaries and/or seminars for Beneficiaries (if needed).				
Operational risks						

Risk description	Risk related to	Likelihood	Consequence	Risk score	Response type	Risk N/A
Lack of project management experience and capacity of project promoters	Both objectives	1	1	1.00	Mitigate	No
	Description of planned response	Precise, explicit, clear, user-friendly documentation for Project Promoters, publication of up-to-date information on webpage and use of other information channels (e.g. direct mailing, F.A.Q.).				
	Description of actual response	Regular supervising of the Project implementation by the responsible Project Manager in order to take the appropriate action in a timely manner. User-friendly guidelines for Beneficiaries and/or seminars for Beneficiaries (if needed).				
	Planned future response	Regular supervising of the Project implementation by the responsible Project Manager in order to take the appropriate action in a timely manner. User-friendly guidelines for Beneficiaries and/or seminars for Beneficiaries (if needed).				
Insufficient administrative capacities in the PO structure	Both objectives	1	2	1.41	Avoid/Terminate	No
	Description of planned response	Timely administrative capacities' planning considering the stage of the implementation; system of motivation of the employees.				
	Description of actual response	Stabilisation of administrative capacities by applying a stratified system of motivation (stable salaries, individualised training system of employees, suitable work equipment etc.)				
	Planned future response	Continuing the stabilisation of administrative capacities by applying a stratified system of motivation (stable salaries, individualised training system of employees, suitable work equipment etc.)				
Long-lasting obtaining of permits necessary for project implementation (e.g. construction permits)	Reducing social and economic disparities	1	3	1.73	Accept	No

	Description of planned response	Individual assessment (case-by-case) of Projects concerned; appropriate setting of timeframe for Project implementation.				
	Description of actual response	Regular supervising of the Project implementation by the responsible Project Manager in order to take the propriate action in a timely manner.				
	Planned future response	Regular supervising of the Project implementation by the responsible Project Manager in order to take the propriate action in a timely manner.				
Shortcommings resulting from the public procurement procedures	Reducing social and economic disparities	3	3	3.00	Avoid/Terminate	No
	Description of planned response	Explicit, clear and up-to-date guidelines for the Project Promoters; setting of a public procurement system in the PO.				
	Description of actual response	Systematic re-training of PO's employees in case of ammendement of relevant legislation (mainly the Act on Public Procurement and the Act on Financial Control and Audit) with regard to the PO's system of public procurement system. Updating of guidelines for Beneficiaries and/or seminars for Beneficiaries (if needed).				
	Planned future response	Systematic re-training of PO's employees in case of ammendement of relevant legislation (mainly the Act on Public Procurement and the Act on Financial Control and Audit) with regard to the PO's system of public procurement system. Updating of guidelines for Beneficiaries and/or seminars for Beneficiaries (if needed).				
Lack of professional expert evaluators for the selection process	Reducing social and economic disparities	1	3	1.73	Avoid/Terminate	No

	Description of planned response	Timely creation and up-date of the evaluators database.				
	Description of actual response	The Expert Evaluators Database has been created in the year 2020 covering all Calls planned under the SK-Climate Programme during the whole implementation cycle. Regarding the Calls with planned selection procedure in the year 2021, the Expert Evaluators Database will be updated in sufficient time prior the start of the Project Applications evaluation.				
	Planned future response	Regarding the Calls with planned selection procedure in the year 2021, the Expert Evaluators Database will be updated in sufficient time prior the start of the Project Applications evaluation.				
Objective obstacles for smooth implementation of Project due to the effects of the global COVID-19 pandemic	Both objectives	3	3	3.00	Accept	No
	Description of planned response	Individual approach (case-by-case) of Projects concerned, flexible Project management, using on-line communication tools etc.				
	Description of actual response	Regular following of the situation caused by the COVID-19 pandemic and taken measures; immediate up-dating of the implementation documentation and guiding the Beneficiaries.				
	Planned future response	Regular following of the situation caused by the COVID-19 pandemic and taken measures; immediate up-dating of the implementation documentation and guiding the Beneficiaries.				
Overall risk of the programme						
		Likelihood	Consequence	Risk score		
OVERALL RISK OF THE PROGRAMME		2	2	2.00		

Annex 5: Monitoring plan

Please note this Annex is uploaded separately and is not visible in this document. Please download and print separately if needed.

Annex 6: Evaluation report

Not Available